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PTO/SB/05 (12/97)

OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>	Attorney Docket No.	59.0049		
	First Named Inventor or Application Identifier			
	LU, ET AL.			
	Express Mail Label	EL905244097US		

(Only for new nonprovisional applications under 37 CFR 1.53(b))

ADDRESS TO:

Honorable Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231JCE25 U.S. PRO  
10/010540

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ACCOMPANYING APPLICATION PARTS
<p>1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form</b> (Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> <b>Specification</b> Total Pages [ 123 ] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claims(s)</li><li>- Abstract of the Disclosure</li></ul> <p>3. <input checked="" type="checkbox"/> <b>Drawing(s) (35 USC 113)</b> Total Sheets [ 41 ] Total Pages [ 164 ]</p> <p>4. Oath or Declaration</p> <ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> <b>Newly executed</b> (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]</li><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li></ul> <p>5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>	<p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Computer Readable Copy</li><li>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</li><li>c. <input type="checkbox"/> Statement verifying identity of above copies</li></ul> <p>8. <input checked="" type="checkbox"/> <b>Assignment Papers</b> (with cover sheet)</p> <p>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement</p> <p><input checked="" type="checkbox"/> <b>Power of Attorney</b> (when there is an assignee)</p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 [ ] Copies of IDS Citations</p> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> <b>Return Receipt Postcard (MPEP 503)</b> (Should be specifically itemized)</p> <p>14. <input type="checkbox"/> Small Entity Statement(s) [ ] Statement filed in prior application. Status still proper and desired</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input checked="" type="checkbox"/> <b>Other:</b></p> <p>(a) Check #757097 in the amount of \$1,514.00 for filing fee, additional claims;</p> <p>(b) Check #757099 in the amount of \$40.00 for Assignment Recordation.</p>

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:18. CORRESPONDENCE ADDRESS: ☒ Customer Number or Bar Code Label (026751) or ☒ Correspondence address below

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1,514.00; 40.00

## Complete if Known

Application Number	UNASSIGNED
Filing Date	NOVEMBER 8, 2001
First Named Inventor	LU, et al.
Examiner Name	UNASSIGNED
Group Art Unit	UNASSIGNED
Attorney Docket No.	59.0049

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 02-0383  
Deposit Account Name: BAKER BOTTS L.L.P.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee Code	Fee Description	Fee Paid
101	201	710	355	Utility filing fee	740.00
106	206	320	160	Design filing fee	
107	207	490	245	Plant filing fee	
108	208	710	355	Reissue filing fee	
114	214	150	75	Provisional filing fee	

SUBTOTAL (1) (\$ 740.00

### 2. EXTRA CLAIM FEES

Total Claims: 63  
Independent Claims: 3  
Multiple Dependent: 0  
Extra Claims: 43  
Fee from below: 18.00  
Fee Paid: 774.00

Large Entity	Small Entity	Fee Code	Fee Code	Fee Description	Fee Paid
103	203	18	9	Claims in excess of 20	
102	202	80	40	Independent claims in excess of 3	
104	204	270	135	Multiple dependent claim, if not paid	
109	209	80	40	** Reissue independent claims over original patent	
110	210	18	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 774.00

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

Large Entity	Small Entity	Fee Code	Fee Code	Fee Description	Fee Paid
105	205	130	65	Surcharge - late filing fee or oath	
127	227	50	25	Surcharge - late provisional filing fee or cover sheet	
139	139	130	130	Non-English specification	
147	147	2,520	2,520	For filing a request for ex parte reexamination	
112	112	920*	920*	Requesting publication of SIR prior to Examiner action	
113	113	1,840*	1,840*	Requesting publication of SIR after Examiner action	
115	115	110	55	Extension for reply within first month	
116	116	390	195	Extension for reply within second month	
117	117	890	445	Extension for reply within third month	
118	118	1,390	695	Extension for reply within fourth month	
128	128	1,890	945	Extension for reply within fifth month	
119	119	310	155	Notice of Appeal	
120	120	310	155	Filing a brief in support of an appeal	
121	121	270	135	Request for oral hearing	
138	138	1,510	1,510	Petition to institute a public use proceeding	
140	140	110	55	Petition to revive - unavoidable	
141	141	1,240	620	Petition to revive - unintentional	
142	142	1,240	620	Utility issue fee (or reissue)	
143	143	440	220	Design issue fee	
144	144	600	300	Plant issue fee	
122	122	130	130	Petitions to the Commissioner	
123	123	50	50	Processing fee under 37 CFR 1.17(q)	
126	126	180	180	Submission of Information Disclosure Stmt	
581	581	40	40	Recording each patent assignment per property (times number of properties)	40.00
146	146	710	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	149	710	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	179	710	355	Request for Continued Examination (RCE)	
169	169	900	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00

## SUBMITTED BY

Name (Print/Type)	RONALD L. CHICHESTER	Registration No. (Attorney/Agent)	36,765	Telephone	713.229.1341
Signature	Ronald L. Chichester	Date	NOVEMBER 8, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.